

RECEIVED  
JUL 14 2003  
TO 1700

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/888,170
Filing Date	06/22/2001
First Named Inventor	Rei-Young Amos Wu
Art Unit	1761
Examiner Name	Carolyn A. Paden
Attorney Docket Number	40002-10367

Sheet 1 of 7

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2</sup> (if known)			Class	Sub Class
OS		US- 2,120,138	06-07-1938	Mathews et al.	—	—
		US- 2,162,376	06-13-1939	Collatz	—	—
		US- 2,701,200	02-01-1955	Huber	—	—
		US- 2,954,295	09-27-1960	Clausi et al.	—	—
		US- 2,954,296	09-27-1960	Clausi et al.	—	—
		US- 3,637,400	01-25-1972	Mullen et al.	—	—
		US- 3,650,763	03-21-1972	Touba	—	—
		US- 3,708,308	01-02-1973	Bedenk et al.	—	—
		US- 3,753,728	08-21-1973	Bedenk et al.	—	—
		US- 3,988,484	10-26-1976	Shatila	—	—
		US- 4,073,958	02-14-1978	Abe	—	—
		US- 4,281,593	08-04-1981	Gavaert	—	—
		US- 4,310,560	01-12-1982	Doster et al.	—	—
		US- 4,328,741	05-11-1982	Yoshikazu	—	—
		US- 4,508,736	04-02-1985	Bean et al.	—	—
		US- 4,623,546	11-18-1986	Holay et al.	—	—
		US- 4,667,588	05-26-1987	Hayashi	—	—
		US- 4,734,289	03-29-1988	Yamaguchi et al.	—	—
		US- 4,764,390	08-16-1988	Zukerman et al.	—	—

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		T <sup>3</sup>
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Class	Sub Class	
OS		BE 799.316	11-09-1973	—	—	—	
		BE 886.895	04-16-1981	—	—	—	
		BE 893.770	11-03-1982	—	—	—	
		BE 902.360	09-02-1985	—	—	—	
		BE 904.631	08-18-1986	—	—	—	
		BE 906.029	of 1987	—	—	—	✓

Examiner  
Signature

Carolyn Paden

Date  
Considered

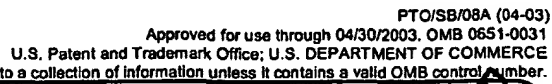
9-11-03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



**Complete if Known**

Application Number	09/888,170
Filing Date	06/22/2001
First Named Inventor	Rei-Young Amos Wu
Art Unit	1761
Examiner Name	Carolyn A. Paden
Attorney Docket Number	40002-10367

Sheet	4	of	7
-------	---	----	---

RECEIVED  
JUL 14 2003  
C 1700

[illegible]

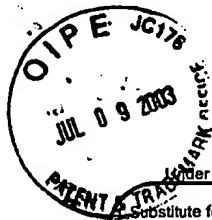
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Class Sub-Class		
C I ↓		JP 63 (1988)-116647	05-20-1988	— —		
		JP 63 (1988)-222653	09-16-1988	— —		✓
		WO 88/00797	02-11-1988	— —		✓
		WO 88/06425	09-07-1988	— —		✓
		WO 89/06092	07-13-1989	— —		
		WO 90/08477	08-09-1990	— —		

9-11-03

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**





<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/888,170
		Filing Date	06/22/2001
		First Named Inventor	Rei-Young Amos Wu
		Art Unit	1761
		Examiner Name	Carolyn A. Paden
Sheet 6 of 7	Attorney Docket Number	40002-10367	

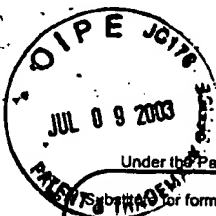
**RECEIVED**  
JUL 14 2003  
TC 1700

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
CP		JULIANO, BEINVENIDO O., Properties of the Rice Caryopsis, Rice: Production and Utilization, pp. 403-437	
		KENNEDY, BARBARA M., Nutritional Quality of Rice Endosperm, Rice: Production and Utilization, pp. 439-447	
		LI, CHIN-FUNG et al., Rice Snack Foods, Rice: Production and Utilization, pp. 690-711	
		LUH, BOR S. et al., Parboiled Rice, Rice: Production and Utilization, pp. 501-542	
		LUH, BOR S. et al., Quick Cooking Rice, Rice: Production and Utilization, pp. 566-589	
		LUH, BOR S. et al., Breakfast Rice Cereals and Baby Foods, Rice: Production and Utilization, pp. 622-649	
		Rice: Production and Utilization, Table of Contents	
		HSIEH, F. et al., Putting of Rice Cakes as Influenced by Tempering and Heating Conditions	
		Curriculum Vitae of Willy DePauw	
		Declaration of Willy Depauw dated June 6, 2002	

Examiner Signature	<i>Carolyn Paden</i>	Date Considered	9-11-03
--------------------	----------------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Completion if Known**

Application Number	09/888,170
Filing Date	06/22/2001
First Named Inventor	Rei-Young Amos Wu
Art Unit	1761
Examiner Name	Carolyn A. Paden
Attorney Docket Number	40002-10367

**RECEIVED**  
JUL 14 2003  
TC 1700

Sheet 7 of 7

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CP		Declaration of Rene van den Berghe dated October 1, 2001	
CP		Three (3) sheets of photocopies of product manufactured by Hunt-Wesson, Inc. of Fullerton, CA, and called "Orville Redenbacher's 100% Popcorn Mini Cakes - Caramel Flavor."	
CP		Incomec Cerex Grain Processor Operations Manual dated November 13, 1997	
CP		JULIANO, BIENENIDO O., Miscellaneous Products, Rice and Chemistry and Technology, pp. 604-606	

Examiner Signature	Carolyn Paden	Date Considered	9-11-03
--------------------	---------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.